



SEC Rule 606 - Report on Routing of Customer Orders

2009 - 1st quarter

Securities listed on the New York Stock Exchange

Summary Statistics :

| | |
|--|--------|
| Non-directed orders as percentage of total customer orders | 4.63% |
| Market orders as percentage of total non-directed orders | 53.15% |
| Limit orders as percentage of total non-directed orders | 46.85% |
| Other orders as percentage of total non-directed orders | 0.00% |

Venue Receiving Significant Percentage of Total Non-Directed Orders

| | |
|------|--------|
| AUTO | 40.14% |
| CDRG | 15.44% |
| CRSS | 13.89% |
| INET | 30.53% |

Securities listed on the Nasdaq

Summary Statistics :

| | |
|--|--------|
| Non-directed orders as percentage of total customer orders | 0.80% |
| Market orders as percentage of total non-directed orders | 51.35% |
| Limit orders as percentage of total non-directed orders | 48.65% |
| Other orders as percentage of total non-directed orders | 0.00% |

Venue Receiving Significant Percentage of Total Non-Directed Orders

| | |
|------|--------|
| AUTO | 44.93% |
| INET | 27.44% |
| CDRG | 12.74% |
| CRSS | 13.95% |
| NITE | 0.94% |

Securities listed on the AMEX or other regional exchanges

Summary Statistics :

| | |
|--|--------|
| Non-directed orders as percentage of total customer orders | 7.01% |
| Market orders as percentage of total non-directed orders | 79.86% |
| Limit orders as percentage of total non-directed orders | 20.14% |
| Other orders as percentage of total non-directed orders | 0.00% |

Venue Receiving Significant Percentage of Total Non-Directed Orders

| | |
|------|--------|
| AUTO | 51.28% |
| CDRG | 16.78% |
| CRSS | 19.97% |
| INET | 11.97% |

Exchange listed Options

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 100.00% |
| Other orders as percentage of total non-directed orders | 0.00% |