



## SEC Rule 606 - Report on Routing of Customer Orders

2007 - 4th quarter

### Securities listed on the New York Stock Exchange

#### Summary Statistics :

Non-directed orders as percentage of total customer orders	1.63%
Market orders as percentage of total non-directed orders	44.05%
Limit orders as percentage of total non-directed orders	55.95%
Other orders as percentage of total non-directed orders	0.00%

#### Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	42.77%
CDRG	57.21%
ISLD	0.02%
OTHER	0.00%

### Securities listed on the Nasdaq

#### Summary Statistics :

Non-directed orders as percentage of total customer orders	2.32%
Market orders as percentage of total non-directed orders	52.25%
Limit orders as percentage of total non-directed orders	47.75%
Other orders as percentage of total non-directed orders	0.00%

#### Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	50.27%
ISLD	20.70%
CDRG	28.59%
ARCA	0.44%

### Securities listed on the AMEX or other regional exchanges

#### Summary Statistics :

Non-directed orders as percentage of total customer orders	1.48%
Market orders as percentage of total non-directed orders	47.65%
Limit orders as percentage of total non-directed orders	52.35%
Other orders as percentage of total non-directed orders	0.00%

#### Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	45.84%
ISLD	0.07%
CDRG	54.09%

### Exchange listed Options

#### Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%